

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: To Be Assigned
Applicant: Hideki Kuwajima, et al.
Filed: Herewith
Title: HEAD SUPPORT MECHANISM AND THIN FILM PIEZOELECTRIC ACTUATOR
TC/A.U.: To Be Assigned
Examiner: To Be Assigned

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed PTO Form 1449 are not required to be provided, because they were cited by or submitted to the Patent and Trademark Office in prior application Serial No. 09/774,347, filed January 31, 2001, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

Daniel N. Calder

Daniel N. Calder, Reg. No. 27,424
Attorney for Applicants

Enclosures: PTO Form 1449 (2 pages)
Transmittal Form

Dated: November 10, 2003

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Kathleen Libby
Kathleen Libby

<p>Substitute for Form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(Use as many sheets as necessary)</i></p>	<p align="center">Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 45%;">Application Number</td> <td>To Be Assigned</td> </tr> <tr> <td>Filing Date</td> <td>Herewith</td> </tr> <tr> <td>First Named Inventor</td> <td>Hideki Kuwajima, et al.</td> </tr> <tr> <td>Art Unit</td> <td>To Be Assigned</td> </tr> <tr> <td>Examiner Name</td> <td>To Be Assigned</td> </tr> <tr> <td colspan="2" style="text-align: center;">SHEET 1 of 2</td> </tr> <tr> <td colspan="2" style="text-align: center;">Attorney Docket No. YAO-4337US4</td> </tr> </table>	Application Number	To Be Assigned	Filing Date	Herewith	First Named Inventor	Hideki Kuwajima, et al.	Art Unit	To Be Assigned	Examiner Name	To Be Assigned	SHEET 1 of 2		Attorney Docket No. YAO-4337US4	
Application Number	To Be Assigned														
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First Named Inventor	Hideki Kuwajima, et al.														
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Examiner Name	To Be Assigned														
SHEET 1 of 2															
Attorney Docket No. YAO-4337US4															

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
		JP 5-47126	02-26-1993	Fujitsu, Ltd.		<input checked="" type="checkbox"/>
		JP 9-265738	10-07-1997	Hitachi, Ltd.		<input checked="" type="checkbox"/>
		JP 10-134534	05-22-1998	Hitachi, Ltd.		<input checked="" type="checkbox"/>
		JP 10-269732	10-09-1998	NEC Corp.		<input checked="" type="checkbox"/>
		JP 10-293979	11-04-1998	Fujitsu, Ltd., et al.		<input checked="" type="checkbox"/>
		JP 03-152775	06-28-1991	Hitachi, Ltd.		<input checked="" type="checkbox"/>
		JP 11-031368	02-02-1999	Fujitsu, Ltd.		<input checked="" type="checkbox"/>
		JP 06-187624	07-08-1994	Fujitsu, Ltd.		<input checked="" type="checkbox"/>
		JP 07-300397	11-14-1995	Matsushita Elec. Ind. Co., Ltd.		<input checked="" type="checkbox"/>
		JP 05-325274	12-10-1993	Canon, Inc.		<input checked="" type="checkbox"/>
		WO 98/44488 A1	10-08-1998	Seagate Technology, Inc.		<input checked="" type="checkbox"/>

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

²See Kind Codes of USPTO Patent Documents at www.uspto.gov.

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⁵Enter Office that issued the document, by the two-letter code.

*Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).
*For Japanese patent documents, the indication of the Japanese Patent Office is given.

⁵Kind of document by the appropriate number in its corresponding column.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

Applicant is to place a check mark here if English language translation is attached.
The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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<p>Substitute for Form 1449B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(Use as many sheets as necessary)</i></p>	Complete if Known	
	Application Number	To Be Assigned
	Filing Date	Herewith
	First Named Inventor	Hideki Kuwajima, et al.
	Art Unit	To Be Assigned
	Examiner Name	To Be Assigned
SHEET 2 of 2	Attorney Docket No.	YAO-4337US4

NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).
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